

(Case No. 98-634-F)

JP11 Rec'd PCT/PTO SEP 24 2001

PATENT

**PATENT COOPERATION TREATY
IN THE UNITED STATES RECEIVING OFFICE (RO/US)**

Application of:

Carson, et al.

Serial No. PCT/US99/26824

Filed: November 12, 1999

**Title: Gene Expression Modulated by
Activation of Microglia or
Macrophages**

Assist. Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL LETTER

In regard to the above identified application:

1. We are transmitting herewith the attached:
 - a. Information Disclosure Statement (IDS);
 - b. Form PTO-1449 including (85 cited References);
 - c. Return Receipt Postcard.
2. With respect to fees:

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3. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2490. A duplicate copy of this sheet is enclosed.
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By:

Roger P. Zimmerman
Roger P. Zimmerman

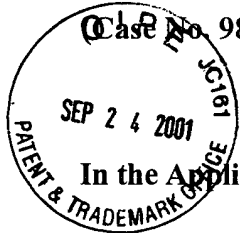
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INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §1.56

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In order to comply with discretionary regulations 37 CFR §1.56, attached hereto is Form PTO-1449 and copies of the documents listed thereon. These documents contain information that the Examiner may consider to be important in deciding whether to allow the present application to issue as a patent.

U.S. PATENTS

1. Sutcliffe, U.S. Patent No. 4,900,811, issued February 13, 1990
2. Sutcliffe, et al., U.S. Patent No. 5,459,037, issued October 17, 1995
3. Herrnsstadt et al., U.S. Patent No. 5,487,993, issued January 30, 1996
4. Shuman, U.S. Patent No. 5,766,891, issued June 16, 1998
5. Sutcliffe, et al., U.S. Patent No. 5,807,680, issued September 15, 1998

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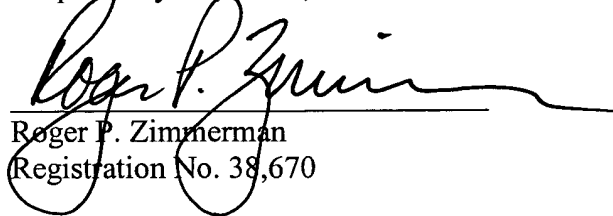
In accordance with MPEP Sections 609 and 707.05 (b), it is requested that each document cited (including any cited in applicant's specification which is not repeated on the attached Form PTO-1449) be given thorough consideration and that it be cited of record in the prosecution history of the present application by initialing on Form PTO-1449. Such initialing is requested even if the Examiner does not consider a cited document to be sufficiently pertinent to use in a rejection, or otherwise does not consider it to be prior art for any reason, or even if the Examiner does not believe that the guidelines for citation have been fully complied with. This is requested so that each document becomes listed on the face of the patent issuing on the present application.

The present Disclosure Statement is being submitted in compliance with 37 CFR 1.56 insofar as an Examiner might consider any of the cited documents important in deciding whether to allow the application to issue as a patent, but the citation of each document is not to be construed as an admission that such document is necessarily relevant or prior art. No representation is intended that the cited documents represent the results of a complete search, and it is anticipated that the Examiner, in the normal course of examination, will make an independent search and will determine the best prior art consistent with 37 CFR 1.104(a) and 1.106(b) and, in the course of each search, will review for relevance every document cited on the attached form even if not initialed.

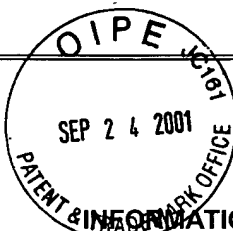
Early and favorable consideration is earnestly solicited.

Date: September 24, 2001

Respectfully submitted,


Roger P. Zimmerman
Registration No. 38,670

FORM PTO-1449
(Rev. 2-32)



U.S. Department of Commerce
Patent and Trademark Office

Pat. Docket No.

98-634-F

Serial No.

PCT/US99/26824

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Use several sheets if necessary)

Applicant:

Carson, et al.

Filing Date:

November 12, 1999

Group:

U.S. PATENT DOCUMENTS

| Examiner Initial | | Document Number | Date | Name | Class | Subclass | Filing Date if Appropriate |
|------------------|----|-----------------|------------|--------------------|-------|----------|----------------------------|
| | 1. | 4,900,811 | 02/13/1990 | Sutcliffe | | | |
| | 2. | 5,459,037 | 10/17/1995 | Sutcliffe, et al. | | | |
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| | 4. | 5,766,891 | 06/16/1998 | Shuman | | | |
| | 5. | 5,807,680 | 09/15/1998 | Sutcliffe, et al. | | | |


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| Examiner Initial | | Document Number | Date | Country | Class | Subclass | Translation | |
|------------------|----|-----------------|------------|---------|-------|----------|-------------|----|
| | 6. | AU 5809390 | 02/07/1992 | | | | Yes | No |
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| | 7. | Anderson et al., "Isolation of a Gene Encoding a Functional Zinc Finger Protein Homologous to Erthroid Krupel-Like Factor: Identification of a New Multigene Family." Mol. Cell Biol. 15:5957-5965 (1995). |
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| FORM PTO-1449 (Rev. 2-32) |  | U.S. Department of Commerce Patent and Trademark Office | App. Docket No. 98-634-F | Serial No. PCT/US99/26824 |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary) | | | Applicant: Carson, et al. | |
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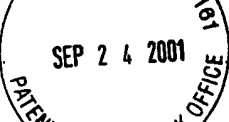
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| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary) | | | Applicant: Carson, et al. | |
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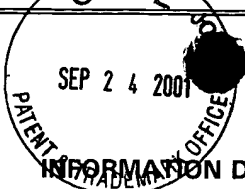
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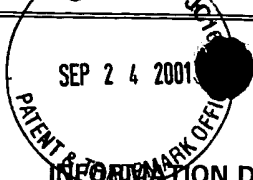
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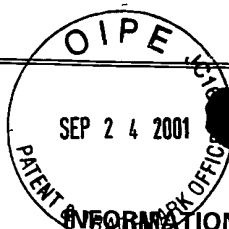
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